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Kristi L. Davidson, Reg. No. 44,643

4/12/05

Date

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/711,649

Filed:

September 29, 2004

Group Art Unit:

1756

Examiner:

Unknown

Applicant:

Kohei Kawamura, Akira Asano, Koutarou Miyatani, Joseph T. Hillman,

Bentley Palmer

Title:

A METHOD FOR SUPERCRITICAL CARBON DIOXIDE

PROCESSING OF FLUORO-CARBON FILMS

Attorney Docket:

SSIT-114

Confirmation No.:

5648

Cincinnati, Ohio 45202

April 12, 2005

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# FIRST INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R. § 1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and

Trademark Office in connection with the above-identified patent application. Because the requirement (37 C.F.R. §1.98(a)(2)(i)) for submitting copies of U.S. patents and published applications has been waived, copies of only the other documents are enclosed herewith.

No representation is made that the cited art is the only art or that the cited art represents the best art.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

If any additional fees are necessary to complete this communication, please apply them to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By: 7 JAATA XX QU'\Q' Kristi I., Davidson, Reg. No. 44.64

2700 Carew Tower 441 Vine Street Cincinnati, OH 45202 (513) 241-2324 (voice) (513) 241-6234 (facsimile) K:\SSIT\114\lst IDS.wpd SUBSTITUTE (AMPTO-1449 U.S. DEPAI (MODIFIED) PATENT A

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. SSIT-114

SERIAL NO. 10/711,649

APPLICANT Kawamura et al.

FILING DATE September 29, 2004 GROUP 1756

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL			PA	TEN	IT N	IUM	BEF	₹	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	2	4	3	9	6	8	9	04/13/1948	Hyde	117	124	06/11/1943
	A.B	2	6	1	7	7	1	9	11/11/1952	C. R. Stewart	23	312	12/29/1950
	A.C	2	6	2	5	8	8	6	01/20/1953	Browne	103	150	08/21/1947
	A.D	3	7	4	4	6	6	0	07/10/1973	Gaines et al.	220	10	12/30/1970
	A.E	3	8	9	0	1	7	6	06/17/1975	Bolon	156	2	12/17/1973
	A.F	3	9	0	0	5	5	1	08/19/1975	Bardoncelli et al.	423	9	03/02/1972
	A.G	3	9	6	8	8	8	5	07/13/1976	Hassan et al.	214	1 BC	08/27/1974
	A.H	4	0	2	9	5	1	7	06/14/1977	Rand	134	11	03/01/1976
	A.I	4	0	9	1	6	4	3	05/30/1978	Zucchini	68	18 C	02/17/1977
	A.J	4	2	1	9	3	3	3	08/26/1980	Harris	8	137	07/03/1978
	A.K	4	2	4	5	1	5	4	01/13/1981	Uehara et al.	250	227	06/28/1978

#### FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
A.L						
A.M						
A.N						
A.O						
A.P						
A.Q						

# OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

A.R	J. B. Rubin et al., <u>A Comparison of Chilled DI Water/0zone and CO₂-based Supercritical Fluids as Replacements for Photoresist-Stripping Solvents</u> , IEEE/CPMT Int'l Electronics Manufacturing Technology Symposium, pp. 308-314, 1998.
A.S	Los Alamos National Laboratory, Solid State Technology, pp. S10 & S14, October 1998.
A.T	Supercritical Carbon Dioxide Resist Remover, SCORR, the Path to Least Photoresistance, Los Alamos National Laboratory, 1998.

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Sheet 2 (B) of 28

U.S. DEPARTMENT OF COMMERCE SERIAL NO. SUBSTITUTE FORM PTO-1449 ATTY. DOCKET NO. 10/711,649 PATENT AND TRADEMARK OFFICE SSIT-114 (MODIFIED) INFORMATION DISCLOSURE APPLICANT STATEMENT BY APPLICANT Kawamura et al. FILING DATE **GROUP** (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER **PATENTEE CLASS** INITIAL DATE 07/27/1982 643 08/04/1975 B.A 3 4 5 9 2 Shortes et al. 156 204 14 08/28/1979 B.B 4 3 4 9 4 1 5 09/14/1982 DeFilippi et al. 12/24/1980 3 5 5 9 10/26/1982 414 217 B.C 3 Mack et al. 10/30/1980 01/04/1983 210 110 B.D 4 3 6 7 1 4 0 Wilson 4 5 9 09/27/1983 417 393 07/27/1981 B.E 4 0 6 6 Budde 206 R 12/27/1978 277 B.F 4 4 2 2 6 5 1 12/27/1983 Platts 105 11/09/1982 B.G 4 4 7 4 9 9 10/02/1984 Blaudszun 134 08/15/1983 10/09/1984 204 64 B.H 4 4 7 5 9 9 3 Blander et al. 422 78 03/05/1982 **B.**1 4 5 2 2 7 8 8 06/11/1985 Sitek et al. 08/03/1983 B.J 4 5 4 9 4 6 7 10/29/1985 Wilden et al. 91 307 11/30/1984 719 B.K 4 5 9 2 3 0 6 06/03/1986 Gallego 118 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION DOCUMENT PUBLICATION COUNTRY OR SUBCLASS** (YES/NO) DATE PATENT OFFICE **CLASS** NUMBER B.L B.M B.N B.O B.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Z. Guan et al., Fluorocarbon-Based Heterophase Polymeric Materials. I. Block Copolymer Surfactants for Carbon Dioxide B.R Applications, Macromolecules, Vol. 27, pp 5527-5532, 1994. B.S International Journal of Environmentally Conscious Design & Manufacturing, Vol. 2, No. 1, pp. 83, 1993. B.T Matson and Smith, Supercritical Fluids, Journal of the American Ceramic Society, Vol. 72, No. 6, pp. 872-874. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

SERIAL NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. 10/711.649 PATENT AND TRADEMARK OFFICE SSIT-114 (MODIFIED) **APPLICANT** INFORMATION DISCLOSURE STATEMENT BY APPLICANT Kawamura et al. **GROUP FILING DATE** (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE **ISSUE EXAMINER** IF APPROPRIATE **CLASS SUBCLASS** PATENT NUMBER DATE **PATENTEE** INITIAL 18 C 11/17/1983 C.A 6 0 1 8 07/22/1986 Privat 68 07/11/1983 C.B 4 6 2 6 5 0 9 12/02/1986 Lyman 435 287 04/28/1986 2 06/02/1987 204 298 C.C 6 7 0 1 Messer et al. 4 01/28/1986 07/28/1987 417 393 C.D 6 8 2 9 3 Credle, Jr. 4 6 7 7 09/15/1987 156 345 11/27/1985 C.E 4 9 3 Hazano et al. 05/12/1987 646 C.F 4 7 4 9 4 4 0 06/07/1988 Blackwood et al. 156 08/29/1986 397 C.G 8 3 5 10/18/1988 Hicks 417 04/17/1986 292 C.H 7 8 8 0 11/29/1988 Kagiyama et al. 422 02/24/1988 319 C.I 4 7 8 9 0 7 12/06/1988 220 4 8 2 3 9 7 6 04/25/1989 White, III et al. 220 211 05/04/1988 C.J 07/08/1987 C.K 4 8 2 8 0 05/02/1989 Takahashi et al. 118 719 5 8 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION DOCUMENT **PUBLICATION COUNTRY OR** NUMBER PATENT OFFICE CLASS SUBCLASS (YES/NO) DATE C.L C.M C.N C.O C.P C.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) D. H. Ziger et al., Compressed Fluid Technology: Application to RIE Developed Resists, AIChE Journal, Vol. 33, No. 10, pp. C.R 1585-1591, October 1987. Kirk-Othmer, Alcohol Fuels to Toxicology, Encyclopedia of Chemical Terminology, 3rd ed., Supplement Volume, New York: C.S John Wiley & Sons, pp. 872-893, 1984. Cleaning with Supercritical CO2, NASA Tech Briefs, MFS-29611, Marshall Space Flight Center, Alabama, March 1979. C.T DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

Sheet 4 (D) of 28

ATTY. DOCKET NO. U.S. DEPARTMENT OF COMMERCE SERIAL NO. SUBSTITUTE FORM PTO-1449 PATENT AND TRADEMARK OFFICE 10/711,649 SSIT-114 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Kawamura et al. FILING DATE **GROUP** (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE **EXAMINER** ISSUE IF APPROPRIATE **CLASS SUBCLASS** INITIAL PATENT NUMBER DATE **PATENTEE** 05/09/1989 118 64 11/21/1986 D.A 8 2 7 8 6 7 Takei et al. 228 180.1 11/12/1987 D.B 4 8 3 8 4 7 6 06/13/1989 Rahn 108 07/22/1983 8 09/12/1989 Fowler et al. 134 D.C 6 5 0 6 02/29/1988 10/31/1989 210 511 D.D 4 8 7 7 5 3 0 Moses 8 7 0 0 11/07/1989 Oesch et al. 203 89 05/04/1988 D.E 4 9 4 03/09/1989 11/07/1989 435 311 D.F 4 8 7 9 4 3 1 Bertoncini 217 05/26/1989 7 5 04/17/1990 414 D.G 4 9 5 6 Stark et al. 08/07/1989 437 225 8 2 05/08/1990 Gluck et al. D.H 4 9 2 3 8 07/28/1988 134 123 D.I 4 9 2 4 8 9 2 05/15/1990 Kiba et al. 08/30/1985 D.J 4 9 2 5 7 9 0 05/15/1990 Blanch et al. 435 52 207 11/22/1988 D.K 4 9 3 3 4 0 06/12/1990 Beckman et al. 526 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION **DOCUMENT PUBLICATION** COUNTRY OR **SUBCLASS** - (YES/NO) NUMBER DATE PATENT OFFICE CLASS D.L D.M D.N D.O D.P D.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) N. Basta, Supercritical Fluids: Still Seeking Acceptance, Chemical Engineering Vol. 92, No. 3, pp. 14, February 24, 1985. D.R D. Takahashi, Los Alamos Lab Finds Way to Cut Chip Toxic Waste, Wall Street Journal, June 22, 1998. D.S Supercritical CO2 Process Offers Less Mess from Semiconductor Plants, Chemical Engineering Magazine, pp. 27 & 29, July D.T 1988. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

Sheet 5 (E) of 28

SERIAL NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE 10/711.649 **SSIT-114** (MODIFIED) **APPLICANT** INFORMATION DISCLOSURE STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE **ISSUE EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER **PATENTEE CLASS** DATE INITIAL 646 02/28/1989 E.A 9 8 3 07/31/1990 Nishikawa et al. 156 719 06/23/1989 E.B 4 9 5 6 0 08/28/1990 Maydan et al. 118 1 11/27/1985 10/02/1990 134 31 E.C 9 6 0 1 4 0 Ishijima et al. 4 10/24/1989 01/08/1991 134 25.4 E.D 9 8 3 2 2 3 Gessner 4 5 2 04/30/1991 134 38 07/21/1988 E.E 5 0 1 4 Weil 12/07/1988 134 1 E.F 5 0 1 3 3 6 6 05/07/1991 Jackson et al. 01/13/1988 786 E.G 5 0 4 4 8 09/03/1991 Davis et al. 414 08/11/1989 417 46 E.H 5 0 6 2 7 11/05/1991 Story et al. 04/03/1989 748 E.I 5 0 6 8 0 4 11/26/1991 Jackson 210 09/11/1990 E.J 5 0 7 4 8 5 12/10/1991 Matthews et al. 134 2 1 08/09/1988 E.K 5 1 0 5 5 5 6 04/21/1992 Kurokawa et al. 34 12 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** DOCUMENT **PUBLICATION COUNTRY OR SUBCLASS** PATENT OFFICE CLASS (YES/NO) NUMBER DATE E.L E.M E.N E.O E.P E.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Y. P. Sun, Preparation of Polymer Protected Semiconductor Nanoparticles Through the Rapid Expansion of Supercritical E.R Fluid Solution, Chemical Physics Letters, pp. 585-588, May 22, 1998. K. Jackson et al., Surfactants and Micromulsions in Supercritical Fluids, Supercritical Fluid Cleaning, Noyes Publications, E.S Westwood, NJ, pp. 87-120, Spring 1998. M. Kryszcwski, Production of Metal and Semiconductor Nanoparticles in Polymer Systems, Polimery, pp. 65-73, February E.T 1998. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 10/711,649 PATENT AND TRADEMARK OFFICE SSIT-114 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE **ISSUE EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER DATE **PATENTEE CLASS** INITIAL 03/02/1993 366 146 12/24/1991 G.A 5 9 0 3 7 3 Dickson et al. 333 02/24/1992 G.B 5 1 9 1 9 9 3 03/09/1993 Wanger et al. 220 06/24/1991 5 03/16/1993 Tanaka et al. 134 56 R G.C 1 9 3 6 0 05/20/1991 03/23/1993 417 393 5 9 5 8 7 8 Sahiavo et al. G.D 1 1 03/23/1993 252 103 08/17/1992 G.E 5 1 9 6 3 4 Jackson 02/26/1992 04/13/1993 G.F 5 2 0 1 9 6 0 Starov 134 11 11/19/1991 2 393 G.G 5 1 3 4 8 5 05/25/1993 Wilden 417 11/30/1989 2 05/25/1993 G.H 5 1 3 6 1 9 Jackson et al. 134 1 01/22/1991 134 1 G.I 5 2 1 5 5 9 2 06/01/1993 Jackson G.J 5 2 1 7 0 4 3 06/08/1993 Novakovi 137 460 02/24/1992 2 11/07/1991 G.K 5 2 0 9 06/22/1993 Pechacek et al. 220 315 1 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION DOCUMENT PUBLICATION COUNTRY OR** NUMBER DATE PATENT OFFICE **CLASS SUBCLASS** (YES/NO) G.L G.M G.N G.O G.P G.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) N. Dahmen et al., Supercritical Fluid Extraction of Grinding and Metal Cutting Waste Contaminated with Oils, Supercritical G.R Fluids - Extraction and Pollution Prevention, ACS Symposium Series, Vol. 670, pp. 270-279, October 21, 1997. G.S C. M. Wai, Supercritical Fluid Extraction: Metals as Complexes, Journal of Chromatography A, Vol. 785, pp. 369-383, October 17, 1997. C. Xu et al., Submicron-Sized Spherical Yttrium Oxide Based Phosphors Prepared by Supercritical CO<sub>2</sub>-Assisted G.T Nerosolization and Pyrolysis, Appl. Phys. Lett., Vol. 71, No. 22, pp. 1643-1645, September 22, 1997. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.

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SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 PATENT AND TRADEMARK OFFICE 10/711,649 SSIT-114 (MODIFIED) INFORMATION DISCLOSURE APPLICANT STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE **ISSUE EXAMINER** IF APPROPRIATE **SUBCLASS** PATENT NUMBER DATE **PATENTEE CLASS** INITIAL 08/02/1994 463 09/29/1993 K.A 3 3 4 4 9 3 Fujita et al. 430 10/27/1992 K.B 5 3 3 7 4 4 6 08/16/1994 Smith et al. 15 21.1 09/07/1993 08/23/1994 Stanford, Jr. et al. 134 107 K.C 5 3 3 9 8 4 4 07/10/1992 156 646 5 3 5 2 3 2 7 10/04/1994 Witowski K.D 9 0 10/18/1994 Mielnik et al. 134 105 10/27/1992 K.E 5 3 5 5 1 10/21/1991 210 634 K.F 5 3 5 6 5 3 8 10/18/1994 Wai et al. 08/04/1993 156 645 K.G 5 3 6 4 4 9 7 11/15/1994 Chau et al. 07/20/1992 147 3 11/29/1994 134 K.H 5 6 8 1 7 Jackson 10/01/1993 134 7 1 K.I 5 3 7 0 4 0 12/06/1994 Chao et al. 11/18/1992 K.J 5 3 7 0 7 4 12/06/1994 Bergman 134 3 1 07/13/1992 5 3 7 0 4 2 12/06/1994 Mitchell et al. 134 10 K.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION DOCUMENT **PUBLICATION COUNTRY OR** NUMBER PATENT OFFICE **CLASS SUBCLASS** (YES/NO) DATE K.L K.M K.N K.O K.P K.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) G. L. Schimek et al., Supercritical Ammonia Synthesis and Characterization of Four New Alkali Metal Silver Antimony K.R Sulfides..., J. Solid State Chemistry, Vol. 123, pp. 277-284, May 1996. P. Gallagher-Wetmore et al., <u>Supercritical Fluid Processing: Opportunities for New Resist Materials and Processes</u>, SPIE, K.S Vol. 2725, pp. 289-299, April 1996. K. I. Papathomas et al., Debonding of Photoresists by Organic Solvents, J. Applied Polymer Science, Vol. 59, pp. 2029-K.T 2037, March 28, 1996 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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SERIAL NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE SSIT-114 10/711.649 (MODIFIED) **APPLICANT** INFORMATION DISCLOSURE STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) September 29, 2004 1756 (37 CFR 1.98(b)) U.S. PATENT DOCUMENTS FILING DATE **ISSUE EXAMINER** SUBCLASS IF APPROPRIATE PATENT NUMBER CLASS **PATENTEE** INITIAL DATE 3 7 0 01/03/1995 Smith, Jr. et al. 134 95.3 09/16/1993 L.A 5 3 2 2 03/28/1995 Marshall 134 13 06/30/1992 L.B 4 0 255.1 10/01/1993 5 6 2 04/04/1995 Jackson et al. 427 L.C 4 0 3 06/18/1993 5 04/04/1995 Alley et al. 428 447 L.D 4 0 3 6 6 5 134 66 05/18/1993 L.E 5 4 0 4 8 9 4 04/11/1995 Shiraiwa 12/06/1993 5 C L.F 5 4 2 9 5 8 05/09/1995 lliff et al. 68 1 12/14/1993 L.G 5 4 1 7 6 8 05/23/1995 Smith, Jr. et al. 134 10 09/08/1993 L.H 5 4 3 3 3 3 07/18/1995 Reneau 220 319 01/21/1994 L.I 5 4 4 7 2 9 4 09/05/1995 Sakata et al. 266 257 08/01/1994 7 5 10/10/1995 Stanford, Jr. et al. 134 1 L.J 5 4 5 6 9 07/08/1994 5 4 0 3 9 11/28/1995 134 3 L.K 3 Fukazawa FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **PUBLICATION COUNTRY OR** TRANSLATION DOCUMENT PATENT OFFICE **CLASS SUBCLASS** (YES/NO) NUMBER DATE L.L L.M L.N L.O L.P L.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) J. J. Watkins et al., Polymer/Metal Nanocomposite Synthesis in Supercritical CO<sub>2</sub>, Chemistry of Materials, Vol. 7, No. 11, L.R pp. 1991-1994, November 1995. E. F. Gloyna et al., Supercritical Water Oxidation Research and Development Update, Environmental Progress, Vol. 14, No. L.S 3, pp. 182-192, August 1995. P. Gallagher-Wetmore et al., Supercritical Fluid Processing: A New Dry Technique for Photoresist Developing, SPIE, Vol. L.T 2438, pp. 694-708, June 1995. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

SERIAL NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE SSIT-114 10/711.649 (MODIFIED) **APPLICANT** INFORMATION DISCLOSURE STATEMENT BY APPLICANT Kawamura et al. **GROUP FILING DATE** (Use several sheets if necessary) September 29, 2004 1756 (37 CFR 1.98(b)) **U.S. PATENT DOCUMENTS** FILING DATE ISSUE **EXAMINER** IF APPROPRIATE **CLASS SUBCLASS** PATENT NUMBER **PATENTEE** INITIAL DATE 8 12/12/1995 427 430.1 03/07/1995 4 Truckenmuller et al. M.A 5 01/09/1996 134 18 06/21/1994 M.B 4 8 2 5 6 4 Douglas et al. 8 142 03/15/1995 2 01/23/1996 Mitchell et al. M.C 5 4 8 6 05/04/1995 02/27/1996 134 1 M.D 5 4 9 4 5 2 Paranjpe 646.1 12/05/1994 M.E 5 5 0 0 0 8 03/19/1996 Bergman 156 10/18/1994 5 5 0 7 6 03/26/1996 Evans et al. 156 344 M.F 1 1 10/25/1994 M.G 5 5 0 3 1 7 6 04/02/1996 Dummire et al. 137 15 11/23/1994 M.H 5 5 0 5 2 1 9 04/09/1996 Lansberry et al. 134 105 11/14/1994 M.I 5 5 0 9 4 3 04/23/1996 Smith, Jr. et al. 134 95.1 1 05/07/1996 134 22.18 12/09/1992 M.J 5 5 1 4 2 2 0 Wetmore et al. 06/04/1996 08/08/1994 M.K 5 5 2 2 9 3 8 O'Brien 134 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION **PUBLICATION** COUNTRY OR **DOCUMENT** SUBCLASS (YES/NO) NUMBER DATE PATENT OFFICE **CLASS** M.L M.M M.N M.O M.P M.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) A. H. Gabor et al., Silicon-Containing Block Copolymer Resist Materials, Microelectronics Technology - Polymers for M.R Advanced Imaging and Packaging, ACS Symposium Series, Vol. 615, pp. 281-298, April 1995. P. C. Tsiartas et al., Effect of Molecular Weight Distribution on the Dissolution Properties of Novolac Blends, SPIE, Vol. M.S 2438, pp. 264-271, June 1995. R. D. Allen et al., Performance Properties of Near-Monodisperse Novolak Resins, SPIE, Vol. 2438, pp. 250-260, June 1995. M.T DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.

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SERIAL NO. U.S. DEPARTMENT OF COMMERCE SUBSTITUTE FORM PTO-1449 ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE 10/711.649 SSIT-114 (MODIFIED) **APPLICANT** INFORMATION DISCLOSURE STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE PATENT NUMBER **SUBCLASS PATENTEE CLASS** DATE INITIAL 08/17/1994 5 2 6 18 3 06/18/1996 Mielnik et al. 134 105 N.A 12/01/1994 N.B 5 5 3 3 5 3 8 07/09/1996 Marshall 134 104.4 7 09/01/1993 5 7 08/20/1996 428 694 N.C 5 4 7 Gimzewski et al. 12/17/1992 2 08/27/1996 528 489 N.D 5 5 5 0 1 DeCrosta et al. 5 5 7 3 3 0 11/05/1996 118 719 11/12/1993 N.E 1 Kyogoku 175 01/09/1995 8 12/03/1996 510 N.F 5 5 8 0 4 6 Hayashida et al. 2 06/07/1995 216 N.G 5 5 8 9 0 8 12/31/1996 Lin et al. 351 05/18/1995 12/31/1996 252 N.H 5 5 8 9 0 5 DeSimone et al. 427 09/22/1994 248.1 N.I 5 5 8 9 2 2 4 12/31/1996 Tepman et al. 5 6 2 9 8 2 04/22/1997 Yamashita et al. 34 203 10/27/1994 N.J 1 01/20/1995 N.K 5 6 2 9 9 8 05/13/1997 Ho et al. 369 112 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION DOCUMENT PUBLICATION** COUNTRY OR (YES/NO) DATE PATENT OFFICE **CLASS SUBCLASS** NUMBER N.L N.M N.N N.O N.P N.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) P. T. Wood et al., Synthesis of New Channeled Structures in Supercritical Amines..., Inorg. Chem., Vol. 33, pp. 1556-1558, N.R N.S J. B. Jerome et al., Synthesis of New Low-Dimensional Quaternary Compounds..., Inorg. Chem., Vol. 33, pp. 1733-1734, N.T J. McHardy et al., Progress in Supercritical CO<sub>2</sub> Cleaning, SAMPE Jour, Vol. 29, No. 5, pp. 20-27, September 1993. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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SERIAL NO. U.S. DEPARTMENT OF COMMERCE SUBSTITUTE FORM PTO-1449 ATTY, DOCKET NO. PATENT AND TRADEMARK OFFICE 10/711,649 SSIT-114 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Kawamura et al. FILING DATE **GROUP** (Use several sheets if necessary) September 29, 2004 1756 (37 CFR 1.98(b)) U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE CLASS SUBCLASS PATENT NUMBER DATE **PATENTEE** INITIAL O.A 05/27/1997 344 04/24/1995 5 6 3 2 8 4 Ohno et al. 156 510 175 07/19/1995 O.B 5 6 3 5 4 6 3 06/03/1997 Muraoka 2 06/27/1994 7 5 06/10/1997 Schulz 134 O.C 5 6 3 26.2 06/24/1997 06/24/1997 Beckman et al. 546 O.D 5 6 4 1 8 8 04/06/1995 8 5 5 07/08/1997 McDermott et al. 34 516 O.E 5 6 4 4 11/29/1995 417 63 07/22/1997 O.F 5 6 4 9 8 0 9 Stapelfeldt 1 12/21/1994 9 08/12/1997 Olesen et al. 134 O.G 5 6 5 6 0 430 325 02/03/1997 5 5 2 09/09/1997 O.H 5 6 6 7 Allen et al. 07/30/1996 68 58 2 09/23/1997 Townsend et al. 0.1 5 6 6 9 5 04/25/1996 204 O.J 5 6 7 2 2 0 09/30/1997 Habuka 117 03/06/1995 142 O.K 5 6 7 6 0 5 10/14/1997 Jureller et al. 8 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** DOCUMENT **PUBLICATION** COUNTRY OR **SUBCLASS** (YES/NO) DATE PATENT OFFICE **CLASS** NUMBER O.L O.M O.N 0.0 O.P O.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) R. Purtell et al., Precision Parts Cleaning Using Supercritical Fluids, J. Vac. Sci. Technol. A., Vol. 11, No. 4, pp. 1696-1701, O.R July 1993. E. Bok et al., Supercritical Fluids for Single Wafer Cleaning, Solid State Technology, pp. 117-120, June 1992 O.S T. Adschiri et al., Rapid and Continuous Hydrothermal Crystallization of Metal Oxide Particles in Supercritical Water, J. Am. O.T Ceram. Cos., Vol. 75, No. 4, pp. 1019-1022, 1992. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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Sheet 17 (Q) of 28 U.S. DEPARTMENT OF COMMERCE SERIAL NO. ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 PATENT AND TRADEMARK OFFICE 10/711,649 (MODIFIED) **SSIT-114** INFORMATION DISCLOSURE **APPLICANT** Kawamura et al. STATEMENT BY APPLICANT FILING DATE **GROUP** (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE **EXAMINER** ISSUE IF APPROPRIATE **CLASS SUBCLASS** INITIAL PATENT NUMBER DATE **PATENTEE** 06/02/1994 17 8 03/24/1998 210 638 Q.A 5 3 0 7 Wai et al. 04/07/1998 Smith et al. 438 778 11/14/1996 Q.B 5 7 3 6 4 2 5 04/14/1998 526 89 09/18/1995 Q.C 7 9 2 2 3 DeSimone 3 211 02/24/1997 7 0 05/05/1998 Yamashita et al. 34 Q.D 5 4 6 0 8 05/14/1996 Q.E 7 6 6 3 6 7 06/16/1998 Smith et al. 134 2 08/20/1996 06/23/1998 217 5 414 Q.F 5 7 6 9 8 8 Toshima et al. 210 634 11/03/1995 7 07/21/1998 Q.G 8 3 0 8 2 DeSimone et al. 10/30/1996 417 46 7 7 08/25/1998 Q.H 5 9 7 9 James et al. 78 05/21/1997 425 2 08/25/1998 Q.I 5 7 9 8 1 6 Fujikawa et al. 09/09/1996 483 Q.J 5 7 9 8 4 3 8 08/25/1998 Sawan et al. 528 10/16/1997 64 Q.K 5 8 0 4 6 0 09/08/1998 Hedrick et al. 521 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** DOCUMENT **PUBLICATION** COUNTRY OR **SUBCLASS** (YES/NO) NUMBER DATE PATENT OFFICE CLASS Q.L Q.M Q.N Q.O Q.P Q.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) B. M. Hybertson et al., Deposition of Palladium Films by a Novel Supercritical Transport Chemical Deposition Process, Mat. Q.R Res. Bull., Vol. 26, pp. 1127-1133, 1991.

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 B. M. Hybertson et al., <u>Deposition of Palladium Films by a Novel Supercritical Transport Chemical Deposition Process</u>, Mat. Res. Bull., Vol. 26, pp. 1127-1133, 1991.
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 D. W. Matson et al., <u>Rapid Expansion of Supercritical Fluid Solutions: Solute Formation of Powders, Thin Films, and Fibers, Ind. Eng. Chem. Res., Vol. 26, No. 11, pp. 2298-2306, 1987.
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SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 **SSIT-114** 10/711,649 PATENT AND TRADEMARK OFFICE (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** Kawamura et al. STATEMENT BY APPLICANT FILING DATE **GROUP** (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE **CLASS SUBCLASS** INITIAL PATENT NUMBER DATE **PATENTEE** 04/28/1997 8 5 08/10/1999 414 217 T.A 5 9 3 16 Asakawa et al. 08/10/1999 454 187 02/01/1998 TR 5 9 3 4 9 9 Rush 08/31/1999 634 05/02/1997 T.C 5 9 9 9 6 DeSimone et al. 210 4 4 427 96 11/14/1996 5 9 09/21/1999 Smith et al. T.D 5 5 4 O 01/21/1998 T.E 5 9 6 5 0 2 5 10/12/1999 Wai et al. 210 634 07/14/1997 11/02/1999 251 175 9 4 9 2 T.F 5 7 5 Brenes 11/30/1998 2 11/02/1999 134 2 9 6 McCullough et al. T.G 5 6 4 03/25/1998 11/09/1999 100 90 9 3 0 6 Fujikawa et al. T.H 5 7 9 34 02/14/1992 134 11/09/1999 T.I 5 9 8 0 6 4 8 Adler 02/15/1995 715 T.J 5 9 8 1 3 9 9 11/09/1999 Kawamura et al. 438 01/28/1997 52 118 T.K 5 9 8 9 3 4 11/23/1999 Ikede et al. FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION **PUBLICATION** COUNTRY OR DOCUMENT PATENT OFFICE **CLASS SUBCLASS** (YES/NO) NUMBER DATE T.L T.M T.N T.O T.P T.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) D. Goldfarb et al., Aqueous-based Photoresist Drying Using Supercritical Carbon Dioxide to Prevent Pattern Collapse, J. T.R Vacuum Sci. Tech. B, Vol. 18, No. 6, pp. 3313, 2000. T.S H. Namatsu et al., Supercritical Drying for Water-Rinsed Resist Systems, J. Vacuum Sci. Tech. B, Vol. 18, No. 6, pp. 3308, 2000. N. Sundararajan et al., Supercritical CO<sub>2</sub> Processing for Submicron Imaging of Fluoropolymers, Chem. Mater., Vol. 12, 41, T.T 2000. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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SERIAL NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. PATENT AND TRADEMARK OFFICE 10/711,649 SSIT-114 (MODIFIED) APPLICANT INFORMATION DISCLOSURE STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) September 29, 2004 1756 (37 CFR 1.98(b)) U.S. PATENT DOCUMENTS FILING DATE ISSUE EXAMINER IF APPROPRIATE SUBCLASS PATENT NUMBER **PATENTEE CLASS** DATE INITIAL 01/29/1997 U.A 5 9 9 2 6 8 0 11/30/1999 Smith 220 812 01/27/1998 5 9 9 4 6 9 6 11/30/1999 Tai et al. 250 288 U.B 11/24/1997 2 219 390 0 0 5 2 12/21/1999 Aschner et al. U.C 6 6 689 07/17/1998 0 8 2 01/25/2000 438 U.D 6 7 0 Ting et al. 134 100.1 06/29/1998 U.E 6 0 2 1 7 9 02/08/2000 Dryer et al. 12/09/1996 U.F 6 0 2 4 8 0 02/15/2000 Wallace et al. 134 1 1 09/16/1998 U.G 6 0 2 9 3 7 02/29/2000 Kamikawa et al. 34 516 03/18/1998 U.H 6 0 3 5 8 7 1 03/14/2000 Eui-Yeol 134 61 11/14/1996 787 U.I 6 0 3 7 2 7 03/14/2000 Masakara et al. 438 5 3 3 4 04/25/2000 Morch 220 263 12/31/1997 U.J 6 0 8 09/22/1997 5 0 05/02/2000 Adams et al. 137 487.5 U.K 6 0 6 0 8 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION PUBLICATION COUNTRY OR** DOCUMENT (YES/NO) PATENT OFFICE CLASS SUBCLASS NUMBER DATE U.L U.M U.N U.O U.P U.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Hideaki Itakura et al., Multi-Chamber Dry Etching System, Solid State Technology, pp. 209-214, April 1982 U.R Joseph L. Foszez, Diaphragm Pumps Eliminate Seal Problems, Plant Engineering, pp. 1-5, February 1, 1996 U.S U.T Bob Agnew, WILDEN Air-Operated Diaphragm Pumps, Process & Industrial Training Technologies, Inc., 1996 **EXAMINER** DATE CONSIDERED EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 PATENT AND TRADEMARK OFFICE 10/711.649 SSIT-114 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS FILING DATE **ISSUE EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER DATE **PATENTEE CLASS** INITIAL 11/14/1996 V.A 6 0 6 3 7 05/16/2000 Smith et al. 438 778 02/13/1998 V.B 6 0 6 7 7 2 8 05/30/2000 Farmer et al. 34 470 06/20/2000 399 04/10/1998 V.C 6 0 7 0 5 3 Fujikawa et al. 417 25.01 11/07/1997 V.D 0 7 3 2 06/20/2000 Adachi et al. 29 6 7 5 07/04/2000 68 18 R 07/30/1999 V.E 6 0 8 2 1 0 Stucker 08/10/1998 07/11/2000 220 V.F 6 0 8 5 9 3 5 Malchow et al. 813 686 10/14/1998 V.G 6 0 9 7 0 1 5 08/01/2000 McCullough et al. 219 10/13/1998 V.H 6 0 9 9 6 1 9 08/08/2000 Lansbarkis et al. 95 118 02/27/1998 692 V.I 6 1 0 0 9 8 08/08/2000 Grieger et al. 438 6 1 0 2 3 2 08/29/2000 Chen et al. 29 25.01 10/01/1998 V.J 1 05/30/1997 V.K 6 1 0 09/05/2000 Houston et al. 428 447 4 4 4 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** DOCUMENT **PUBLICATION COUNTRY OR** DATE PATENT OFFICE CLASS **SUBCLASS** (YES/NO) NUMBER V.L V.M V.N V.O V.P V.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Nakata, Active Matrix Substrate and Manufacturing Method Thereof, US 2001/0024247 A1, Publication Date 09/27/2001, V.R Filing Date 03/13/2001, U.S. Class 349/43 Biberger et al., Method of Depositing Metal Film and Metal Deposition Cluster Tool Including Supercritical Drying/Cleaning V.S Module, US 2002/0001929 A1, Publication Date 01/03/2002, Filed 04/24/2001, U.S. Class 438/584. DeYoung et al., Methods for Removing Particles from Microelectronic Structures, US 2002/0112746 A1, Publication Date V.T 08/22/2002, Filed 09/13/2001, U.S. Class 134/36 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered.

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SERIAL NO. SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. PATENT AND TRADEMARK OFFICE SSIT-114 10/711.649 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Kawamura et al. **GROUP FILING DATE** (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 U.S. PATENT DOCUMENTS **FILING DATE** ISSUE **EXAMINER** IF APPROPRIATE PATENT NUMBER **CLASS SUBCLASS PATENTEE** INITIAL DATE 03/03/1998 W.A 6 2 2 5 6 09/19/2000 700 218 Nguyen et al. 05/15/1999 W.B 6 2 8 8 3 0 10/10/2000 Bettcher et al. 34 404 1 438 781 05/05/1998 W.C 0 2 5 2 10/31/2000 Cho et al. 6 1 4 11/14/2000 05/15/1997 5 Konishi et al. 134 95.2 W.D 6 1 4 5 1 9 216 57 05/05/1997 W.E 6 1 4 9 8 2 8 11/21/2000 Vaartstra 688 04/22/1999 W.F 6 5 9 2 9 5 12/12/2000 Maskara et al. 118 1 06/12/1998 W.G 6 1 6 4 2 9 12/26/2000 Kamikawa 134 61 07/15/1998 W.H 6 1 7 1 6 5 01/09/2001 Smith et al. 427 96 217 02/24/1998 W.I 6 1 8 6 7 2 02/13/2001 Shirai 414 05/27/1999 W.J 6 2 0 0 9 4 3 03/13/2001 Romack et al. 510 285 6 2 0 3 5 8 2 03/20/2001 25.01 07/15/1996 W.K Berner et al. FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **DOCUMENT PUBLICATION COUNTRY OR TRANSLATION** PATENT OFFICE **CLASS SUBCLASS** (YES/NO) NUMBER DATE W.L W.M W.N W.O W.P W.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Beam, High Purity CO2 and BTEX Recovery, US 2002/0117391 A1, Publication Date 08/29/2002, Filed 01/28/2002, U.S. W.R Class 203/81 W.S Chiou, Method of Rinsing Residual Etching Reactants/Products on a Semiconductor Wafer, US 2002/0142595 A1, Publication Date 10/03/2002, Filed 03/29/2001, U.S. Class 438/689 Masuda et al., Process and Apparatus for Removing Residues from the Microstructure of an Object, US 2002/0164873 A1, W T Publication Date 11/07/2002, Filed 02/08/2002, U.S. Class 438/689 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

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SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 PATENT AND TRADEMARK OFFICE 10/711,649 SSIT-114 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 **U.S. PATENT DOCUMENTS** FILING DATE **ISSUE EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER **PATENTEE CLASS** DATE INITIAL 04/14/1999 2 6 3 6 04/17/2001 Tanaka et al. 34 448 X.A 6 02/12/1999 6 2 2 7 7 4 05/01/2001 DeSimone et al. 210 634 X.B 4 430 327 09/17/1999 2 2 8 5 6 3 05/08/2001 Starov et al. X.C 6 510 291 10/18/1999 2 2 8 8 2 6 05/08/2001 DeYoung et al. X.D 6 2 2 438 725 02/08/1999 X.E 6 3 2 3 8 05/15/2001 Chang et al. 09/12/1997 526 171 X.F 6 2 3 2 4 1 7 05/15/2001 Rhodes et al. 05/20/1998 438 680 X.G 6 2 3 5 6 3 4 05/22/2001 White et al. 09/09/1996 438 745 X.H 6 2 3 9 0 3 8 05/29/2001 Wen 04/16/1999 733 X.I 6 2 4 1 8 2 5 06/05/2001 Wytman 118 2 6 06/05/2001 Vaartstra 430 329 08/28/1998 X.J 6 4 2 1 5 03/06/1998 2 2 06/12/2001 73 865.9 X.K 6 4 4 1 Hunter FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION PUBLICATION COUNTRY OR** DOCUMENT **CLASS** SUBCLASS (YES/NO) NUMBER DATE PATENT OFFICE X.L X.M X.N X.O X.P X.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Cotte et al., Process of Removing Residue Material from a Precision Surface, US 2003/0003762 A1, Publication Date X.R 01/02/2003, Filed 06/27/2001, U.S. Class 438/745 Chang et al., Method of Avoiding Dielectric Layer Deterioration with a Low Dielectric Constant During a Stripping Process, X.S US 2003/0013311 A1, Publication Date 01/16/2003, Filed 07/03/2001, U.S. Class 438/704 Reid et al., Method for Removing a Sacrificial Material with a Compressed Fluid, US 2003/0047533 A1, Publication Date X.T 03/13/2003, Filed 06/10/2002, U.S. Class 216/24 DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

Sheet 25 (Y) of 28 SERIAL NO. U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SUBSTITUTE FORM PTO-1449 PATENT AND TRADEMARK OFFICE SSIT-114 10/711,649 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** STATEMENT BY APPLICANT Kawamura et al. **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) September 29, 2004 1756 **U.S. PATENT DOCUMENTS** FILING DATE ISSUE **EXAMINER** IF APPROPRIATE SUBCLASS PATENT NUMBER DATE **PATENTEE CLASS** INITIAL 89 09/03/1999 Y.A 6 2 5 2 5 0 06/26/2001 Keigler 205 257 760 08/03/1999 Y.B 6 2 5 5 7 3 2 07/03/2001 Yokoyama et al. 08/28/1998 2 7 0 5 08/07/2001 8 142 Y.C 6 3 DeYoung et al. 06/07/1999 08/07/2001 430 314 5 2 7 0 9 4 8 Sato et al. Y.D 2 7 7 5 08/21/2001 438 692 09/28/1999 Y.E 6 7 3 Mullee et al. 30 11/25/1998 438 Y.F 6 2 8 4 5 5 8 09/04/2001 Sakamoto 410 01/12/2000 2 34 Y.G 6 2 8 6 3 09/11/2001 Bergman et al. 03/30/1999 269 13 6 10/23/2001 Y.H 6 3 0 5 7 Lenz 05/27/1998 430 329 Y.I 6 3 0 6 5 6 10/23/2001 Mullee 07/11/2000 787 Y.J 6 3 9 8 5 8 11/20/2001 Lee et al. 438 1 02/27/2001 692 Y.K 6 3 3 4 8 12/18/2001 Koch 438 FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION DOCUMENT PUBLICATION COUNTRY OR** (YES/NO) PATENT OFFICE **CLASS SUBCLASS** NUMBER DATE Y.L Y.M Y.N Y.O Y.P Y.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Masuda et al., Process and Apparatus for Removing Residues from the Microstructure of an Object, US 2003/0106573 A1, Y.R

Y.R Masuda et al., Process and Apparatus for Removing Residues from the Microstructure of an Object, US 2003/0106573 A1, Publication Date 06/12/2003, Filed 02/08/2002, U.S. Class 134/26

Y.S Xu et al., Supercritical Fluid Cleaning of Semiconductor Substrates, US 2003/0125225 A1, Publication Date 07/03/2003, Filed 11/25/2002, U.S. Class 510/175

Y.T Jackson, Dense Fluid Cleaning Centrifugal Phase Shifting Separation Process and Apparatus, US 2003/0205510 A1, Publication Date 11/06/2003, Filed 03/13/2001, U.S. Class 210/86.

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